

*JBW*



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

application of

Docket No: Q80142

Wataru KIKUCHI, et al.

Appln. No.: 10/787,127

Group Art Unit: 2822

Confirmation No.: 4673

Examiner: Monica LEWIS

Filed: February 27, 2004

For: STACKED SEMICONDUCTOR PACKAGE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. United States Patent Application Publication No. 2002/0030975, published March 14, 2002.
2. United States Patent No. 6,670,700, issued December 30, 2003.
3. International Patent Application Publication No. 01/15231, published March 1, 2001.
4. United States Patent No. 6,072,699, issued June 6, 2000.
5. United States Patent No. 6,433,422, issued August 13, 2002.
6. United States Patent No. 5,375,041, issued December 20, 1994.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/787,127

Attorney Docket: Q80142

7. United States Patent No. 5,616,954, issued April 1, 1997.
8. United States Patent No. 5,717,245, issued February 10, 1998.
9. United States Patent No. 6,462,421, issued October 8, 2002.

One copy of each of the listed documents is submitted herewith, except for the following:

U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses here with a copy of a corresponding German Office Action dated September 8, 2005, and a complete English translation thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/787,127

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The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 7, 2005



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,



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Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		Application Number	10/787,127
		Confirmation Number	4673
		Filing Date	February 27, 2004
		First Named Inventor	Wataru KIKUCHI
		Art Unit	2822
		Examiner Name	Monica LEWIS
		Attorney Docket Number	Q80142
Sheet	1	of	1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0030975	A1	03-14-2002	Ow Chee Moon
		US 6,670,700	B1	12-30-2003	Nobuaki Hashimoto
		US 6,072,699	A	06-06-2000	Bryce D. Horine
		US 6,433,422	B1	08-13-2002	Kyoji Yamasaki
		US 5,375,041	A	12-20-1994	John F. McMahon
		US 5,616,954	A	04-01-1997	Hiromori Tobase
		US 5,717,245	A	02-10-1998	David John Pedder
		US 6,462,421	B1	08-08-2002	Kao-Yu Hsu, et al.
		US			

## FOREIGN PATENT DOCUMENTS

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.